Copy from Prior Application

DECLARATION AND POWER OF ATTORNEY

1

As the below named inventor, I declare that:

___X is attached hereto; or

My residence, post office address and citizenship are as stated below next to my name, that I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention or design entitled STORAGE ROUTER AND METHOD FOR PROVIDING VIRTUAL LOCAL STORAGE, the specification of which (check one):

was filed on as
Application Serial No and was
amended on (if applicable);
that I have reviewed and understand the contents of the
above-identified specification, including the claims, as
amended by any amendment referred to above; and that I
acknowledge the duty to disclose to the U.S. Patent and
Trademark Office all information known to me to be material to
patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority

Date Claimed

Number Country Filed (Yes) (No)

None.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application		
Serial Number	<u>Date Filed</u>	<u>Status</u>

None.

I hereby appoint:

Jerry W. Mills	Reg. No. 23,005
Robert M. Chiaviello, Jr.	Reg. No. 32,461
Ann C. Livingston	Reg. No. 32,479
William N. Hulsey III	Reg. No. 33,402
Thomas R. Felger	Reg. No. 28,842
Charles S. Fish	Reg. No. 35,870
Wei Wei Jeang	Reg. No. 33,305
Kevin J. Meek	Reg. No. 33,738
Anthony E. Peterman	Reg. No. 38,270
Barton E. Showalter	Reg. No. 38,302
David G. Wille	Reg. No. 38,363
Philip W. Woo	Reg. No. 39,880
Bradley P. Williams	Reg. No. 40,227
Terry J. Stalford	Reg. No. 39,522
Christopher W. Kennerly	Reg. No. 40,675
Daniel P. Stewart	Reg. No. 41,332
Roger J. Fulghum	Reg. No. 39,678
Rodger L. Tate	Reg. No. 27,399
Scott F. Partridge	Reg. No. 28,142
James B. Arpin	Reg. No. 33,470
James Remenick	Reg. No. 36,902

3

Jay B. Johnson	Reg.	No.	38,193
Christopher C. Campbell	Reg.	No.	37,291
Stacy B. Margolies	Reg.	No.	39,760
Robert W. Holland	Reg.	No.	40,020
Steven R. Sprinkle	Reg.	No.	40,825

all of the firm of Baker & Botts, L.L.P., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities.

Send Correspondence To:

<u>Direct Telephone Calls To</u>:

Anthony E. Peterman

at (512) 322-2599

Baker & Botts, L.L.P. 2001 Ross Avenue Dallas, Texas 75201-2980

Atty. Docket No.064113.0103

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

4

Full name of the first inventor

Geoffrey B. Hoese

Inventor's signature

Date

Residence (City, County, State)

Citizenship

Texas

Post Office Address

United States of America

Austin, Travis County,

1904 Ann Arbor Avenue Austin, Texas 78704

Full name of the second inventor

Jeffry T. Russell

Inventor's signature

Date

Residence (City, County, State)

Cibolo, Guadalupe County,

Texas

Citizenship

United States of America

Post Office Address

205 Kariba Cove Cibolo, Texas 78108

ATT ORNEY'S DOCKET 064113.0103)		
PATE	FORM COVER SHEET ENTS ONLY	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
To the Honorable Commissioner of Patents and Trademark		
1. Name and Address of Conveying Party(ies):	2. Name and Address of	f receiving Party(ies):
Geoffrey B. Hoese 1904 Ann Arbor Avenue	Name:	Crossroads Systems, Inc.
Austin, Texas 78704	Internal Address:	Suite II-300
☐ Individual/Citizenship: <u>United States of America</u>	Street Address:	9390 Research Blvd.
U Individual/Citizensinp. Office diales of Afficence	City:	Austin
Additional name(s) of conveying party(ies) attached? X Yes No	State/Zip	Texas 78759
3. Nature of conveyance:		
X Assignment Merger	■ Corporation/State	Texas
Security Agreement Change of Name		
Other		
	Additional name(s) & add	ldress(es) Yes X No
Execution Date: December 22,1997	attached?	
4. Application number(s) or patent number(s):		
If this document is being filed together with a new application		application is: <u>December 22, 1997</u>
A.	B. Patent No.(s)	
Additional Numbers		Yes x No
5. Name and address of party to whom correspondence	6. Total number of appli	lications and patents involved: 1
concerning document should be mailed:		
Name: Anthony E. Peterman	7. Total Fee (37 CFR 3.	
Internal Address: Baker & Botts, L.L.P.	X Enclosed	
Street Address: 2001 Ross Avenue		zed to be charged to deposit account
City/State/Zip Dallas, Texas 75201-2980	8. Deposit account numb	
		Copy of this page if paying by deposit account)
DO NOT C	USE THIS SPACE	
9. Statement and signature.		
To the best of my knowledge and belief, the foregoing inform	nation is true and correct ar	nd any attached copy is a true copy of the
original document.	111	
Anthony E. Peterman	1478	December 31, 1997
Name of Person Signing Signature		Date
	Total nu	umber of pages including cover sheet 4
OMB No. 0651-0011 (exp.4/94)		
Do not de	etach this portion	
Mail documents to be recorded with required cover sheet inform	nation:	
Commissioner of	f Patent and Trademarks	Copy from Prior Application
Box :	Assignments	
Washing	ton, D.C. 20231	
Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per documen	nt to be recorded, including time for reviewing	g the document and gathering the data needed, and completing and

reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of

Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.

U.S. Patent and Trademark Office

Recordation Form Cover Sheet -Form PTO-1595 (01/31/92)
Patents Only
Page 2
Attorneys Docket: 064113.0103
Section 1 -Name of conveying parties

Additional names (individual)

Jeffry T. Russell 205 Kariba Cove Cibolo, Texas 78108

United States of America



ASSIGNMENT

WHEREAS, we, the undersigned inventors of residence as listed, have invented certain new and useful improvements as below entitled, for which application for United States Letters Patent is made, said application having been executed on the date set forth below; and

WHEREAS, Crossroads Systems, Inc. (hereinafter referred to as "Assignee"), a Texas corporation, with its principal address at 9390 Research Blvd., Suite II-300, Austin, Texas 78759, desires to acquire our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we assign to Assignee, all right, title and interest in and to the said invention and in and to the said application and all patents which may be granted therefor, and all divisions, reissues, continuations, continuations-in-part and extensions thereof; and we authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interests are concerned, to Assignee.

We also assign to Assignee, all right, title and interest in and to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee.

We will communicate to Assignee any facts known to us respecting any improvements; and, at the expense of Assignee, we will testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make lawful oaths and declarations, and generally do everything possible to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said invention in all countries.

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Title of Invention: STORAGE ROUTER AND METHOD FOR PROVIDING VIRTUAL LOCAL STORAGE

Signature of first Inventor:	m-
Inventor's Name:	Geoffrey B. Hoese
Residence (City, County, State)	Austin, Travis County, Texas
Date:	12/22/97
Date Application Executed:	12/22/97
Signature of second Inventor:	Jelley Thrull
Inventor's Name:	Jefft 7. Russell
Residence (City, County, State)	Cibolo, Guadalupe County, Texas
Date:	December 22, 1997
	December 22, 1997

PATENT

Attorney Docket No.: CROSS-1120

(formerly 064113.0103)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Geoffrey B. Hoese, et al.

Serial No.

09/001799

Filing Date:

December 31, 1997

Group Art No.

Unknown

Title

STORAGE ROUTER AND METHOD FOR PROVIDING

VIRTUAL LOCAL STORAGE

Copy from Prior Application

Assistant Commissioner of Patents

Washington, D.C. 20231

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that this documents is being deposited in the United States Postal Service as first class mail on the date identified below in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Rebecca Morrison

4-15-98

Date

REVOCATION OF POWER OF ATTORNEYS

<u>AND</u>

POWER OF ATTORNEY AND CHANGE OF MAILING ADDRESS

Sir:

Crossroads Systems, Inc., which is the assignee of record of 100% of the right, title and interest in the above-identified application, as evidenced by the Assignment enclosed herewith, hereby revokes all previous Powers of Attorney and appoints the following attorneys, all of the firm of Gray Cary Ware & Freidenrich, LLP, to prosecute the above-identified patent application and to transact all business in the Patent and Trademark Office connected therewith.

WILLIAM N. HULSEY III	Registration No. 33,402
STEPHEN E. REITER	Registration No. 31,192
GREGORY P. RAYMER	Registration No. 36,647
DAVID F. KLEINSMITH	Registration No. 40,050
BARRY N. YOUNG	Registration No. 27,774
TIMOTHY W. LOHSE	Registration No. 35,255
STANLEY H. KIM	Registration No. 40,047

PATENT

Attorney Docket No.: 103671.991120

(formerly 064113.0103)

Applicant(s): Geoffrey B. Hoese, et al.

Serial No.: 09/001799 Filed: December 31, 1997

Page 2

457-7040.

MARNIE WRIGHT BARNHORST DARLENE W. HAYES RAMSEY R. STEWART STEVEN R. SPRINKLE MICHAEL A. HOFF Registration No. 36,740 Registration No. 33,899 Registration No. 38,322 Registration No. 40,825 Registration No. 40,018

We hereby state that we have reviewed and understand the contents of the aboveidentified specification, including the claims, as amended by any amendment(s) referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

Direct all telephone calls to WILLIAM N. HULSEY III at (512)

Address all correspondence to:

William N. Hulsey III
GARY CARY WARE & FREIDENRICH, LLP
100 Congress Avenue, Suite 1440
Austin, Texas 78701

Respectfully submitted,

CROSSROADS SYSTEMS, INC

Brian R. Smith

Chief Technical Officer

Date:

AU\4001078.1 103671-991120

(ATTORNEY'S DOCKET 064113.0103)								
FORM PTO-1595 1-31-92		NTS ONLY			Patent an	d Trade	mark C	Office
To the Honorable Commissioner of	Patents and Trademark	s. Please record	the attache	ed original d	ocuments o	or copy	hereof.	<u> </u>
1. Name and Address of Conveying Part	ry(ies):	2. Name and	Address of	receiving P	arty(ies):			
Geoffrey B. Hoese		Name:		Crossroads	Systems,	Inc.		
1904 Ann Arbor Avenue Austin, Texas 78704		Internal Addre		Suite II-30 9390 Resea				
☐ Individual/Citizenship:United	d States of America	City:	•	Austin	arch Divu.			
Additional name(s) of conveying party(ie: X Yes No	s) attached?	State/Zip		Texas 78	3759			
3. Nature of conveyance: X Assignment Security Agreement	Merger Change of Name		n/State	Т	exas			
Other		Additional nan	ne(s) & ado	iress(es)		Yes	Х	No
Execution Date: December 22,1997		attached?				<u> </u>		
 Application number(s) or patent number If this document is being filed together 	er(s):	s the execution (date of the	application	is Dec	ember 2	2 1997	7
	r with a new application	B. Patent No.	(s)	арриошной			-1	
Α.	Additional Numbers	•				Yes	х	No
5. Name and address of party to whom o		6. Total numb	per of appli	cations and	patents inv	olved:	1	
concerning document should be mailed:								
Name: Anthony E. Pe	terman	7. Total Fee (37 CFR 3.	41): \$40.00)			
Internal Address: Baker & Botts		х	Enclosed					
Street Address: 2001 Ross Ave			Authorize	ed to be cha	rged to dep	osit acc	ount	
City/State/Zip Dallas, Texas	75201-2980	8. Deposit acc						
		<u> </u>		opy of this page	e if paying by	deposit a	:count)	
	DO NOT U	ISE THIS SPAC	E 	-				
9. Statement and signature.								
To the best of my knowledge and belie	ef, the foregoing inform	ation is true and	l correct an	d any attach	hed copy is	a true o	opy of	the
original document.	And	PA	-					
Anthony E. Peterman	100	1/10			<u>Dece</u>	ember 3	1. 1997	<u>'</u>
Name of Person Signing	Signature				Date		ı	
			Total nu	mber of pag	es includin	g cover	sheet	4
OMB No. 0651-0011 (exp.4/94)								
		tach this portion						
Mail documents to be recorded with requ	ired cover sheet inform	nation:						

Commissioner of Patent and Trademarks

Box Assignments

Washington, D.C. 20231

Public burden reporting for this sample cover shoet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.

U.S. Patent and Trademark Office

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United States of America

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NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we assign to Assignee, all right, title and interest in and to the said invention and in and to the said application and all patents which may be granted therefor, and all divisions, reissues, continuations, continuations-in-part and extensions thereof; and we authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interests are concerned, to Assignee.

We also assign to Assignee, all right, title and interest in and to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee.

We will communicate to Assignee any facts known to us respecting any improvements; and, at the expense of Assignee, we will testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make lawful oaths and declarations, and generally do everything possible to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said invention in all countries.

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Title of Invention: STORAGE ROUTER AND METHOD FOR PROVIDING VIRTUAL LOCAL STORAGE

Signature of first Inventor:	NYV
Inventor's Name:	Geoffrey B. Hoese
Residence (City, County, State)	Texas
Date:	12/22/97
Date Application Executed:	12/22/97
	\mathcal{L}
Signature of second Inventor:	Jeffens / Knulf
Signature of second Inventor: Inventor's Name:	Jeffey P. Russell
_	Jeffry P. Russell Cibolo, Guadalupe County, Texas
Inventor's Name:	Cibolo, Guadalupe County,